



U.S. Department of Justice

*United States Attorney
Eastern District of New York*

ALC/LHE/SME
F. #2016R00505

*271 Cadman Plaza East
Brooklyn, New York 11201*

July 27, 2017

By ECF, Email and Federal Express

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Re: United States v. Mark Nordlicht, et al.
Criminal Docket No. 16-640 (DLI)

Dear Counsel:

Enclosed please find the government's discovery in accordance with Rule 16 of the Federal Rules of Criminal Procedure. This disclosure supplements the government's earlier disclosures by letters dated January 23, 2017, January 26, 2017, February 14, 2017, February 24, 2017, March 9, 2017, March 27, 2017, April 3, 2017, April 12, 2017, April 26, 2017, May 5, 2017, May 11, 2017, May 26, 2017, June 16, 2017, June 20, 2017, July 3, 2017, July 6, 2017 and July 17, 2017. The government again requests reciprocal discovery from the defendants.

Defense Counsel

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The government has enclosed compact discs containing:

- Typed and handwritten notes of meetings and interviews conducted by staff of the Office of Compliance Inspections and Examinations (“OCIE”) of the United States Securities Exchange and Commission in connection with the 2014 examination of Platinum Partners:
OCIE 000000001 – OCIE 000000261.
- Email correspondence between OCIE staff and certain of the defendants:
OCIE000000262 – OCIE000000267.
- Inventories reflecting items seized during the search executed at the offices of Platinum Partners on June 22, 2016:
EDNY-PP-SW-000000034 – EDNY-PP-SW-000000097.

Very truly yours,

BRIDGET M. ROHDE
Acting United States Attorney
Eastern District of New York

By: /s/
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cc: Clerk of the Court (DLI) (by ECF) (w/o enclosures)